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 1c962 U.S. PTO

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DATED: 5 January 2001

ATTY DOCKET: BLFR 1001-1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231  
 Sir:

1c490 U.S. PTO  
 09/755635  
 01/06/01

## UTILITY PATENT APPLICATION TRANSMITTAL LETTER

Enclosed for filing is ☒ an original patent application or, ☐ a continuation-in-part patent application by Robert Dvorak and Kevin Katari for METHOD AND APPARATUS FOR MODIFICATION OF BASIC GOOD FORECASTS.

Also enclosed are:

- ☒ 11 sheet(s) of ☐ formal ☒ informal drawing(s);  
☒ 24 sheet(s) of specification/abstract;  
☒ 12 sheet(s) of claims;  
☐ a claim for foreign priority under 35 U.S.C. §§ 119 and/or 365 in  
☐ a separate document ☐ the declaration;  
☐ a certified copy of the priority document;  
☐ an Associate Power of Attorney;  
☐ \_\_\_ verified statement(s) claiming small entity status;  
☐ an Assignment document and form PTO-1595;  
☐ ☐ a declaration of the inventor(s); ☐ combined declaration and power of attorney.  
☐ Disclosure Statement, PTO-Form 1449 and \_\_\_ references;  
☒ Applicant claims small entity status. (See Rule 37 CFR 1.27).  
☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

The fee has been calculated as follows:

CLAIMS					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$710.00
Total Claims	92	MINUS 20 =	72	X \$18.00 =	\$1296.00
Independent Claims	5	MINUS 3 =	2	X \$80.00 =	\$160.00
If multiple dependent claims are presented, add \$270.00					
Total Application Fee					\$ 2166.00
If verified statement claiming small entity status is enclosed, subtract 50% of Total Application Fee					\$1083.00
Add Recording Fee of \$40.00 if Assignment document is enclosed					-0-
TOTAL APPLICATION FEE DUE					\$ 1083.00

- ☐ Check No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ is enclosed.  
☐ Charge \$\_\_\_\_\_ to Deposit Account No. 50-0869.  
☒ Fees will be paid when responding to the Notice to File Missing Parts.  
☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-0869 (BLFR 1001-1). Two copies of this transmittal letter are enclosed.

Respectfully submitted,

Date: 5 January 2001

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By:   
 Mark A. Haynes, Reg. No. 30,846

09755635-010501

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor ROBERT DVORAK et al.

Title METHOD AND APPARATUS FOR MODIFICATION  
OF BASIC GOOD FORECASTS

Atty Docket Number BLFR 1001-1

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

5 January 2001

Date



Signature

MARK A. HAYNES, ESQ. Reg. No. 30,846

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**